



# General Account Information

This form is required to establish a general account in the NOx Allowance Tracking System (NATS) and should be submitted to EPA by any person, company, or organization wishing to open such an account for the purpose of holding and transferring allowances under the NOx Budget Program.

To open a new general account, complete all steps in this form, leaving the NATS account number and the Authorized Account Representative (AAR) ID number blank for EPA to assign (unless you are an AAR for another account in NATS, in which case you should write in your AAR ID number). Both the AAR and the Alternate (if applicable) must sign and date the certification statement in Step 6.

To revise information associated with an existing general account, enter your NATS account number and AAR ID number and complete only those steps covering the information you wish to change. You must complete Step 6 to authorize the change of information. Only the AAR or Alternate AAR can authorize the change, and only one signature is needed. The AAR should notify all persons who have an ownership interest in the allowances held in an account every time he or she makes a NOx Budget Program submittal.

This submittal is: ☐ New (to open a new general account)  
☐ Revised (to revise information on an existing general account)

NATS Account Number	AAR ID Number
Name of Account	

## STEP 1 Enter requested information for the Authorized Account Representative.

Name	
Firm (Optional)	
Phone Number	Fax Number
E-mail Address	

## STEP 2 (Optional) Enter requested information for the Alternate Authorized Account Representative.

Name	
Firm (Optional)	
Phone Number	Fax Number
E-mail Address	

## STEP 3 Enter the mailing address for the account.

Address
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## STEP 4 Enter the names of all parties (persons or companies) subject to the binding agreement authorizing your representation of the account.\*

Name
Name
Name

\*Identify all parties with an ownership interest in the allowances held in this account. All of these parties must be subject to a binding agreement authorizing the representation of the account by the AAR, and, if applicable, the Alternate AAR, identified in Steps 1 and 2. If you (the AAR) are the only person with an ownership interest in the allowances held in the account, list your name here.

NATS Account Number (from page 1)

**STEP 5 (Optional)**  
Respond to the questions by marking all appropriate boxes (this information will be used for program evaluation purposes only).

Is the Authorized Account Representative employed by an allowance brokerage firm?

☐ No

☐ Yes (if yes, please mark all boxes that apply)

☐ This account will be used to transfer allowances between clients

☐ This account will be used to hold allowances for investment purposes

☐ This account will be used for other purposes (please specify)

What types of business are represented by the owner(s) of allowances in this account? (Mark all boxes that apply)

☐ Utility

☐ Non-Utility Generator of Electricity

☐ Industrial Boiler

☐ Fuel Supplier

\_\_\_\_\_ Coal

\_\_\_\_\_ Oil

\_\_\_\_\_ Gas

\_\_\_\_\_ Other

☐ Pollution Control Equipment

☐ Public Interest Group

\_\_\_\_\_ Consumer

\_\_\_\_\_ Other

\_\_\_\_\_ Environmental

☐ Other

**STEP 6**

Read the certification and sign and date. (Only one signature is needed if the form is being used to revise account information.) Leave the account number blank if you are opening a new account.

I certify that I, \_\_\_\_\_ (name), was selected as an Authorized Account Representative as applicable by an agreement binding on the parties with an ownership interest in the allowances held in the account \_\_\_\_\_ (account name or number).

Signature (Authorized Account Representative)	Date
Signature (Alternate Authorized Account Representative, if any)	Date

**Submission Information**

**U.S. Mail:**  
U.S. Environmental Protection Agency  
Acid Rain Program (6204N)  
Attention: NOx Allowance Tracking System  
1200 Pennsylvania, Ave., N.W.  
Washington, D.C. 20460

**Overnight Mail:**  
U.S. Environmental Protection Agency  
Acid Rain Program (6204N)  
Attention: NOx Allowance Tracking System  
633 3<sup>rd</sup> Street, N.W.  
Washington, D.C. 20001

If you have any questions, please call the **Acid Rain Hotline at 202-564-9620.**